

2013 OCC Community Bank Director Workshops

Risk Assessment for Directors

Where Is the Risk in Your Institution?

2013 Workshop Locations

Washington, DC*
March 5

Philadelphia, PA*
April 16

San Jose, CA*
May 14

Columbia, SC*
May 21

Sioux Falls, SD*
June 11

Milwaukee, WI*
July 16

Chicago, IL*
July 30

Lexington, KY*
September 10

Oklahoma City, OK*
October 29

Little Rock, AR*
November 5

**REGISTER
TODAY!**





Risk Assessment for Directors Where Is the Risk in Your Institution?

Fresh Challenges for Directors

Effectively measuring and managing risk is crucial to the success of financial institutions in these days of economic uncertainty. While managers deal with risk-related issues day-to-day, directors must maintain a level of knowledge that best enable them to fulfill their oversight responsibilities. This one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks and federal savings associations. Risk assessment topics that will be addressed are

- Enhancing understanding of the OCC's approach to risk-based supervision
- Increasing familiarity with major risks in the financial industry
- Recognizing common ways to identify, measure, monitor, and control risk
- Learning what risk-related questions to ask managers, auditors, and regulators

Communicate With the Regulators

You will interact with three instructors who are experienced examiners from the OCC. They are seasoned professionals who regularly assess risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow for open and targeted dialogue. Pre-course reading materials will be provided to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations that have assets less than \$5 billion. Management directors could also benefit from attendance.

"In this economic environment, it's especially important to understand regulatory expectations for risk management. This workshop equips directors with the skills and knowledge they need to help steer financial institutions through challenging times."

Jennifer Kelly

Senior Deputy Comptroller
for Midsize and
Community Bank Supervision

Meet the Instructors



Julie Blake
Assistant Deputy Comptroller
Central District



Chris Dunn
Assistant Deputy Comptroller
Southern District



Randy Fenimore
Assistant Deputy Comptroller
Southern District



Debbie Garland
Assistant Deputy Comptroller
Southern District



Brian James
Assistant Deputy Comptroller
Central District



Tom Jorn
Assistant Deputy Comptroller
Western District



Kris Kiefer
Associate Deputy Comptroller
Northeastern District

Agenda

Attire is business casual.

Workshop

Registration begins at 7:00 a.m. The workshop begins promptly at 8:00 a.m. and ends by 4:00 p.m. Lunch will be provided.

The workshop will cover

“I spent the most productive and useful time with regulators and had networking opportunities with my peers during this insightful board training.”

Reaction from past participant

- Risk assessment system overview
- CAMELS
- Strategic and reputational risk
- Credit and price risk
- Interest rate risk
- Liquidity risk
- Operational risk
- Compliance and audit risk
- Hot topics



Trish Lindsey
*Assistant Deputy Comptroller
Southern District*



Janice McQuary
*Associate Deputy Comptroller
Southern District*



Terry Richter
*Assistant Deputy Comptroller
Southern District*



Troy Thornton
*Assistant Deputy Comptroller
Western District*



Sheila Van Ornum
*Assistant Deputy Comptroller
Central District*



John Vivian
*Assistant Deputy Comptroller
Central District*

Part 1

- ☐ March 5, 2013
Washington, DC*
- ☐ April 16, 2013
Philadelphia, PA*
- ☐ May 14, 2013
San Jose, CA*
- ☐ May 21, 2013
Columbia, SC*
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Sioux Falls, SD*
- ☐ July 16, 2013
Milwaukee, WI*
- ☐ July 30, 2013
Chicago, IL*
- ☐ September 10, 2013
Lexington, KY*
- ☐ October 29, 2013
Oklahoma City, OK*
- ☐ November 5, 2013
Little Rock, AR*

Please register online at www.seiservices.com/occ, fax registrations to (240) 485-1982, or mail to SEI Services, Attn: OCC 2013, 8757 Georgia Ave., Suite 1440, Silver Spring, MD 20910.

- You must be on the board of directors of a national community bank or federal savings association.
- Registrations will be accepted on a space-available basis only. A wait list will be established for all sessions that reach maximum capacity.
- Registration fee for the workshop is \$99 per participant.
- All cancellations must be in writing. Cancellations will be accepted by e-mail to occ@seiservices.com or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.
- Completion of this form in its entirety is required for conference registration.

Institution Information

Institution Name: _____

Asset Size \$
(Millions)

<input type="checkbox"/> <50	<input type="checkbox"/> 50-99	<input type="checkbox"/> 100-149
<input type="checkbox"/> 150-249	<input type="checkbox"/> 250-499	<input type="checkbox"/> 500-749
<input type="checkbox"/> 750-1B	<input type="checkbox"/> >1B	

Institution Address: _____
Street

City State Zip Code

Telephone: _____

Point of Contact: _____

Payment Information

Billing Name: _____

Billing Address: _____
Street

City State Zip Code

Credit Card Type: ☐ Amex ☐ Visa ☐ MC Expiration Date: _____

Credit Card Number: _____

Security Code: _____

Signature of Cardholder: _____

If other payment arrangements are needed, please contact the reservation center at (240) 485-1700 or occ@seiservices.com.

* Consecutive session with the compliance risk or credit risk workshops. Participants may attend one or more of these sessions.

OCC Community Bank Director Workshops
Risk Assessment Workshop Registration Form

Part 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge: _____
First Name Last Name

Title: _____ Director: ☐ Inside ☐ Outside ☐ Other

Home Address: _____
Street

City State Zip Code

Daytime Telephone: _____ Fax: _____

Attendee's E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number (Home or Cell): _____
(do not use the bank phone number)

Comments

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (240) 485-1700. Registration center hours: 8:30 a.m.–5:30 p.m. Eastern time.

FOR REGISTRATION CENTER USE ONLY

CONFIRMATION NUMBER: _____



Dates and Locations

Washington, DC*—March 5, 2013

OCC Headquarters

Hotel accommodations: Residence Inn

Hotel reservations: (202) 484-8280

Room rate: \$224.00

www.marriott.com

Philadelphia, PA*—April 16, 2013

Sheraton Philadelphia Downtown Hotel

Hotel reservations: (800) 325-3535

Room rate: \$179.00

www.sheraton.com/philadelphiadowntown

San Jose, CA*—May 14, 2013

Dolce Hayes Mansion

Hotel reservations: (866) 981-3300

Room rate: \$121.00

www.hayesmansion.com

Columbia, SC*—May 21, 2013

Columbia Marriott Hotel

Hotel reservations: (803) 771-7000

Room rate: \$139.00

www.marriottcolumbia.com

Sioux Falls, SD*—June 11, 2013

Holiday Inn Sioux Falls—City Centre

Hotel reservations: (605) 339-2000

Room rate: \$109.00

www.holidayinn.com

Milwaukee, WI*—July 16, 2013

Crowne Plaza Milwaukee Airport

Hotel reservations: (414) 764-5300

Room rate: \$97.00

www.cpmilwaukeeairporthotel.com

Chicago, IL*—July 30, 2013

Gleacher Center

Hotel accommodations: InterContinental Chicago

Hotel reservations: (312) 944-4100

Room rate: \$155.00

www.icchicagohotel.com

Lexington, KY*—September 10, 2013

Crowne Plaza Lexington

Hotel reservations: (859) 255-4281

Room rate: \$89.00

www.crowneplaza.com/campbellhouse

Oklahoma City, OK*—October 29, 2013

The Skirvin Hilton

Hotel reservations: (405) 272-3040

Room rate: \$81.00

www.skirvinhilton.com

Little Rock, AR*—November 5, 2013

The Peabody Little Rock

Hotel reservations: (501) 906-4000

Room rate: \$159.00

www.peabodylittlerock.com

Hotel room rates for each location are guaranteed until the following dates:

Residence Inn, Washington, DC	February 1, 2013
Sheraton Philadelphia Downtown Hotel, Philadelphia, PA	March 16, 2013
Dolce Hayes Mansion, San Jose, CA	April 13, 2013
Columbia Marriott Hotel, Columbia, SC	April 10, 2013
Holiday Inn Sioux Falls—City Centre, Sioux Falls, SD	May 11, 2013
Crowne Plaza Milwaukee Airport, Milwaukee, WI	June 15, 2013
InterContinental Chicago, Chicago, IL	June 28, 2013
Crowne Plaza Lexington, Lexington, KY	August 10, 2013
The Skirvin Hilton, Oklahoma City, OK	September 29, 2013
The Peabody Little Rock, Little Rock, AR	October 5, 2013

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes

- Pre-course reading package
- Course material
- The Director's Toolkit, which includes *The Director's Book*, "Detecting Red Flags in Board Reports," and "Internal Controls"
- The "Community Bank Supervision" booklet of the *Comptroller's Handbook*
- Lunch

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 649-6733.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by e-mail to occ@seiservices.com or by fax notification to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

For additional workshop information, please call (240) 485-1700 or send an e-mail to occ@seiservices.com.

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